

CBP and Trade Automated Interface Requirements

USDA APHIS Lacey Act Samples

January 2016



U.S. Customs and
Border Protection



Table of Changes

Revision Number	Date of Change	Brief Description of Change
2.8	23JUL15	<p>PG04 and PG29: PG29 follows PG04 and the combination is a wrapper for the unknown species/unknown countries.</p> <p>PG14: Type Code, A8, PPQ505 is deprecated and not required.</p> <p>PG21 and PG22: PG21 can lead or follow PG22, use the most convenient for you.</p> <p>PG02: PG02 C is allowed but the use should be considered as uncommon.</p>
2.8.1	2AUG15	Correct Example 7 to PG02 C
2.9	13AUG15	<p>Discontinue use of PG29;</p> <p>Delete PG29 from samples;</p> <p>Reconfigure samples to demonstrate concepts for use without PG29 in examples of unknown species or country of harvest;</p> <p>Add example of multiple PG05's with one PG06;</p> <p>Add PG24;</p> <p>Swap PG21/PG22;</p> <p>Delete example of component code.</p>
2.9.1	16SEP15	Change all sequence to full Parent Child. Add Example 3C. Modify examples of unknown country, unknown quantity to PG04, PG05, PG06 sequence. Update format to all caps.
2.9.2	30SEP15	Modify PG04, PG05, PG06 sequences to demonstrate possible examples of Using the PG04 Y flag for lists of either possible species or possible countries of harvest. Remove PG04 recycled percentage placeholders.
2.9.3	05OCT15	Correct PG04 change "U" to "Y"
3.0	04JAN16	Clarify PG04, PG05, PG06, PG21, PG22. PG24 deprecated. Add PG60. Introduction, add LA email address.

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Introduction

The APHIS Lacey Act ACE message set samples are examples of the APHIS Lacey Act message set and are not the definitive set or the complete set of examples. These examples are not complex or examples of all the possible combinations of message records. The examples presented are representative of entry filings developed during talks with developers and brokers during the development and pilot of the APHIS Lacey Act.

PG24 has been deprecated in the Lacey PGA message set on December 22, 2015, it is replaced with PG60. PG24 will continue to be used by other PGAs.

USDA APHIS Lacey Act contact email address ace.itds@aphis.usda.gov

Example 1: Simple Declaration, One Product many Species

Example 1 is an entry of used railroad ties with only one CBP line item. Each tie is made of maple or oak. There are 100 kg of *Acer saccharum* from Canada, 200 kg of *Quercus rubra* from Canada, 300 kg of *Quercus alba* from Canada, 400 kg of *Acer saccharum* from the U.S., 500 kg of *Quercus rubra* from the U.S., and 600 kg of *Quercus alba* from the U.S. The value of the PGA line is \$10,000, and the shipment is in two containers.

```
00    H5001CA 4407930010ZZABCTEC123XXX
01    OI USED RAILROAD TIES
02    PG01 001 APHAPL
03    PG02 P
04    PG10 USED MAPLE AND OAK RAILROAD TIES
05    PG04 MAPLE TIES 100 KG
06    PG05 ACER SACCHARUM
07    PG06 HRV CA
08    PG04 OAK TIES 200 KG
09    PG05 QUERCUS RUBRA
10    PG06 HRV CA
11    PG04 OAK TIES 300 KG
12    PG05 QUERCUS ALBA
13    PG06 HRV CA
14    PG04 MAPLE TIES 400 KG
15    PG05 ACER SACCHARUM
16    PG06 HRV US
17    PG04 OAK TIES 500 KG
18    PG05 QUERCUS RUBRA
19    PG06 HRV US
20    PG04 OAK TIES 600 KG
21    PG05 QUERCUS ALBA
22    PG06 HRV US
23    PG22 IM AP6 Y 12182014
24    PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
25    PG25 10000
26    PG27 WHXU4101474 WHXU4101475
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Example 2: Simple Declaration, Three Products One Species

Example 2 is an entry of pine desks with only one CBP line item and has three PGA line items representing 3 different styles of desk. All three PGA lines are under the same OI record (same commercial description).

- 1) First PGA line are desks made of pine (*Pinus taeda*), with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000.
- 2) Second PGA line are desks made of pine (*Pinus taeda*), with a quantity is 200 cubic meters, harvested in Canada, and valued at \$20,000.
- 3) Third PGA line are desks made of pine (*Pinus taeda*), valued at \$30,000, with a quantity of 300 cubic meters harvested in the United States and 400 cubic meters harvested in the Canada.

Section 1.1

Text

```
00  H5001CA9403308000ZZABCTEC123XX

01  OI    WOODEN DESK
02  PG01 001  APHAPL
03  PG02 P
05  PG10 WOODEN DESK MODEL 0415
06  PG04 PINE DESK TOPS LEGS SIDE DRAWERS      100    M3
07  PG05 PINUS TAEDA
08  PG06 HRV  CA
09  PG22 IM   AP6  Y    12182014
10  PG21 IM   JOHN Q IMPORTER      3015552232  JQI@IMP.COM
11  PG25 10000
12  PG01 002  APHAPL
13  PG02 P
14  PG10 WOODEN DESK MODEL 0522
15  PG04 PINE DESK TOPS LEGS SIDE DRAWERS      200    M3
16  PG05 PINUS TAEDA
17  PG06 HRV  CA
18  PG22 IM   AP6  Y    12182014
19  PG21 IM   JOHN Q IMPORTER      3015552232  JQI@IMP.COM
20  PG25 20000
21  PG01 003  APHAPL
22  PG02 P
23  PG10 PINE DESK MODEL 0553
24  PG04 PINE DESK TOPS DRAWERS                300    M3
25  PG05 PINUS TAEDA
26  PG06 HRV  US
27  PG04 PINE DESK TOPS LEGS SIDE              400    M3
28  PG05 PINUS TAEDA
29  PG06 HRV  CA
30  PG22 IM   AP6  Y    12182014
31  PG21 IM   JOHN Q IMPORTER      3015552232  JQI@IMP.COM
32  PG25 30000
```

Example 3: Unknown Species Breakdown

This sample is not common and requires the exercise of due care to determine applicability.

Example 3 is an entry of softwood lumber made of three species of pine with a total quantity of 300 cubic meters.* After exercising due care the importer cannot determine specifically how much of each species exists in this shipment, however, they know that 100 M3 was harvested in the United Kingdom and 200 M3 was harvested in France. The shipment is valued at \$30,000 and is shipped in one container. PG04 has the Unknown flag set. PG05 is looped through the list of possible species, using the amount of product in PG04 for each species. When the next country is encountered the PG04 Unknown (“Y”) flag is set. PG05 is rotated through the list of possible species, each iteration uses the quantity of product in PG04. PG06 does not change during the loop of PG05 possible species.

*The statute requires a unique quantity for each species/country pairing. Equal division of quantity is not expected.

00	H5001CA4403200008AFABCTEC123FAL	
01	OI	SOFTWOOD PULPWOOD
02	PG01	001 APHAPL
03	PG02	P
04	PG10	EUROPEAN UNION PINE PULPWOOD
05	PG04	Y UK PINE PULPWOOD 34 M3
06	PG05	PINUS TAEDA
07	PG06	HRV GB
08	PG04	Y UK PINE PULPWOOD 17 M3
09	PG05	PINUS RIGIDA
10	PG06	HRV GB
11	PG04	Y UK PINE PULPWOOD 49 M3
12	PG05	PINUS ECHINADA
13	PG06	HRV GB
14	PG04	Y FRENCH PINE PULPWOOD 67 M3
15	PG05	PINUS TAEDA
16	PG06	HRV FR
17	PG04	Y FRENCH PINE PULPWOOD 33 M3
18	PG05	PINUS RIGIDA
19	PG06	HRV FR
20	PG04	Y FRENCH PINE PULPWOOD 100 M3
21	PG05	PINUS ECHINADA
22	PG06	HRV FR
24	PG22	IM AP6 Y 12182014
25	PG21	IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
26	PG25	30000
27	PG27	WHXU4101474

Example 4: Unknown Country Breakdown

***This Sample is not common and requires the exercise of due care to determine applicability.**

Example 4 is an entry of softwood lumber with only one CBP line item and has two PGA line items. Both PGA lines are under the same OI record (same commercial description).

- 1) First PGA line is lumber made of a species of pine, quantity is a total of 200 cubic meters, *(after exercising due care the importer cannot determine specifically how much of each species was harvested in United Kingdom, France or Germany). The line is valued at \$20,000, and is all in one container.
- 2) Second PGA line is lumber made of a species of pine, 300 cubic meters, harvested in an unknown country. The country of harvest could have been one of eleven countries. This shipment is valued at \$30,000, and shipped in one container.

The statute requires a unique quantity for each species/country pairing. Current APHIS guidance states that in the case where the country is unknown (after the exercise of due care) and the total number of possible countries is 10 or more, then the filer may use “**” in lieu of the Country of Harvest. *The statute requires a unique quantity for each species/country pairing.

```
00  H5001CA4403200008ZZABCTEC123XX
01  OI    SOFTWOOD PULPWOOD
02  PG01 001  APHAPL
03  PG02 P
04  PG10 SOFTWOOD PULPWOOD
05  PG04 Y EU PINE PULPWOOD 66 M3
06  PG05 PINUS ECHINADA
07  PG06 HRV  GB
07  PG04 Y EU PINE PULPWOOD 56 M3
09  PG05 PINUS ECHINADA
10  PG06 HRV  FR
11  PG04 Y EU PINE PULPWOOD 88 M3
12  PG05 PINUS ECHINADA
13  PG06 HRV  DE
14  PG22 IM  AP6  Y    12182014
15  PG21 IM  JOHN Q IMPORTER      3015552232  JQI@IMP.COM
16  PG25 20000
17  PG27 WHXU4101474
18  PG01 002  APHAPL
19  PG02 P
20  PG10 SOFTWOOD PULPWOOD
21  PG04 Y NA PINE PULPWOOD 15 M3
22  PG05 PINUS ECHINADA
23  PG06 HRV  **
24  PG04 Y NA PINE PULPWOOD 200 M3
25  PG05 PINUS ECHINADA
26  PG06 HRV  **
27  PG04 Y NA PINE PULPWOOD 85 M3
28  PG05 PINUS ECHINADA
29  PG06 HRV  **
30  PG22 IM  AP6  Y    12182014
31  PG21 IM  JOHN Q IMPORTER      3015552232  JQI@IMP.COM
32  PG25 30000
33  PG27 WHXU4101474
```


Example 5: Unknown Country and Species Breakdown

This Sample is not common and requires the exercise of due care to determine applicability.

Example 5 is an entry of softwood lumber with only one CBP line item and has three PGA line items. The PGA line is lumber made of three species of pine; it is unknown (after exercising due care) how much of the 300 cubic meters of pine represents each of the three species, though they were each harvested in either Canada or the United States. This shipment is valued at \$30,000, and shipped in one container.

*The statute requires a unique quantity for each species/country pairing.

00	H5001CA4403200008ZZABCTEC123XX		
01	OI	SOFTWOOD PULPWOOD	
02	PG01	001 APHAPL	
03	PG02	P	
04	PG10	NORTH AMERICAN SOFTWOOD PULPWOOD	
05	PG04	Y PINE PULPWOOD	50 M3
06	PG05	PINUS ECHINADA	
07	PG06	HRV CA	
08	PG04	Y PINE PULPWOOD	42 M3
09	PG05	PINUS ECHINADA	
10	PG06	HRV US	
11	PG04	Y PINE PULPWOOD	83 M3
12	PG05	PINUS TAEDA	
13	PG06	HRV CA	
14	PG04	Y PINE PULPWOOD	37 M3
15	PG05	PINUS TAEDA	
16	PG06	HRV US	
17	PG04	Y PINE PULPWOOD	201 M3
18	PG05	PINUS RIGIDA	
19	PG06	HRV CA	
20	PG04	Y PINE PULPWOOD	137 M3
21	PG05	PINUS RIGIDA	
22	PG06	HRV US	
23	PG22	IM AP6 Y 12182014	
24	PG21	IM JOHN Q IMPORTER	3015552232 JQI@IMP.COM
25	PG25	30000	
26	PG27	WHXU4101474	

Example 6: Special Use Designation

This Sample is not common and requires the exercise of due care to determine applicability.

Example 6 is an entry of products whose component species are difficult to determine. APHIS has created Special Use Designations (SUD's) to streamline the declaration requirement for cases where the importer has exercised their due care and concluded that the species is impossible to determine. This entry contains 2 products.

The first product was manufactured in 1955 (prior to the Lacey Act reporting requirement) and *after the exercise of due care the importer could not determine the species.

The second product is a seat made of pine and particleboard and (after the exercise of due care) the importer could not determine the species. For the Pine portion of the chairs is made of 100 M3 of Pinus strobus. For the particle board portion of the chairs, the quantity of plant material harvested in Canada is 200 M3 and the quantity of plant material harvested in the United States is 300 M3.

*The statute requires the species and country of harvest for each plant or plant product.

00	H5001CA9403704010ZZABCTEC123XX				
01	OI	SEATS WITH WOOD FRAMES			
02	PG01	001	APHAPL		
03	PG02	P			
04	PG10	SEATS WITH WOODEN FRAMES			
05	PG04	VINTAGE SEATS	100	M3	
06	PG05	SPECIAL	PREAMENDMENT		
07	PG06	HRV	CA		
08	PG22	IM	AP6 Y	12182014	
09	PG21	IM	JOHN Q IMPORTER	3015552232	JQI@IMP.COM
10	PG25	7000			
11	PG01	002	APHAPL		
12	PG02	P			
13	PG10	SEATS WITH WOODEN FRAMES			
14	PG04	PINE VENEER	100	M3	
15	PG05	PINUS	STROBUS		
16	PG06	HRV	CA		
17	PG04	PARTICLEBOARD BASE	200	M3	
18	PG05	SPECIAL	COMPOSITE		
19	PG06	HRV	CA		
20	PG04	PARTICLEBOARD BASE	300	M3	
21	PG05	SPECIAL	COMPOSITE		
22	PG06	HRV	US		
23	PG22	IM	AP6 Y	12182014	
24	PG21	IM	JOHN Q IMPORTER	3015552232	JQI@IMP.COM
25	PG25	40000			

Example 7: Product-level Declaration

Example 8 is a product-level declaration of an entry of wooden side chairs.

The first line of chairs is made of a variety of different species with a two-species backing. There are 800 m3 of *Pinus radiata* from Russia, 500 m3 of *Betula platyphylla* from Russia, 600 m3 of *Pinus radiata* from Russia, 200 m3 of *Betula platyphylla* from Russia.

The second line of chairs is a fabric-covered seat containing another set of species. There are 22000 m3 of *Pinus radiata* from New Zealand, 40000 m3 of *Betula Alleghaniensis* from Canada. The fabric is made of synthetic (non-plant) material and does not require a Lacey Act declaration. The value of the PGA line is \$10,000, and the shipment is in one container.

00	H5001CA 9403704010ZZABCTEC123XX			
01	OI	WOODEN K.D FURNITURE		
02	PG01	001	APHAPL	
03	PG02	P		
04	PG10	WOODEN K.D FURNITURE		
05	PG04	CHAIR - SIDES AND SEAT	1400	M3
06	PG05	PINUS	RADIATA	
07	PG06	HRV	RU	
08	PG04	CHAIR - BACK AND LEGS	700	M3
09	PG05	BETULA	PLATYPHYLLA	
10	PG06	HRV	RU	
11	PG22	IM	AP6 Y	12182014
12	PG21	IM	JOHN Q IMPORTER	3015552232 JQI@IMP.COM
13	PG25	10000		
14	PG01	002	APHAPL	
15	PG02	P		
16	PG10	WOODEN K.D FURNITURE		
17	PG04	CHAIR - LEGS	22000	M3
18	PG05	PINUS	RADIATA	
19	PG06	HRV	NZ	
20	PG04	CHAIR - BACK	40000	M3
21	PG05	BETULA	ALLEGHANIENSIS	
22	PG06	HRV	CA	
23	PG22	IM	AP6 Y	12182014
24	PG21	IM	JOHN Q IMPORTER	3015552232 JQI@IMP.COM
25	PG25	10000		
26	PG27	WHXU4101474		

Example 8: Declaration with a Permit

Example 9 is an entry of pine desks with only one CBP line item and one PGA line item.

The desks are made of pine, with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000.

These desks have an inlay of 0.678 cubic meters, rounded to 0.68 of *Cedrela odorata* harvested in Bolivia. This is a CITES species that requires a permit. The permit number is: 000473

00	H5001CA9403308000ZZABCTEC123XX				
01	OI	WOODEN DESK PINE			
02	PG01	001	APHAPL		
03	PG02	P			
04	PG10	PINE DESK MODEL 0415			
05	PG04	DESK SIDES TOP LEGS AND FRAME	100	M3	
06	PG05	PINUSTAEDA			
07	PG06	HRV	CA		
08	PG04	CEDAR INLAY CITES PROTECTED	068	M3	
09	PG05	CEDRELA	ODERATA		
10	PG06	HRV	BO		
11	PG14	1	FWF	000473	1 05012015
12	PG22	IM	AP6	Y	12182014
13	PG21	IM	JOHN Q IMPORTER	3015552232	JQI@IMP.COM
14	PG25	10000			

Example 10: Disclaimer Declaration

Example 10 is a disclaimed declaration for an entry of metal pianos. The Lacey Act Declaration is flagged for shipments of HTS 9201, however there is no plant material in product and therefore does not require any further PGA information under the Lacey Act.

Disclaimer Code Use:

No disclaimer code PG01, position 80, NULL or blank – the entry will process through ACE

Data not present because:

- “A” – Product not regulated by this agency,
- “B” – data is not required per agency guidance
- “C” – data filed through other agency means
- “D” – data filed through paper

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00  H5001CA 920120000051ZZABCTEC123XX
01  OI    CAST IRON GRAND PIANOS
02  PG01  001   APHAPL      A
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